

Docket No.

8762.37

# Declaration and Power of Attorney For Patent Application

## English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**METHOD AND SYTEM FOR INTELLIGENT GLOBAL EVENT NOTIFICATION AND CONTROL WITHIN A DISTRIBUTED COMPUTING ENVIRONMENT**

the specification of which

(check one)

☐ is attached hereto.

☒ was filed on November 15, 1999 as United States Application No. or PCT International Application Number \_\_\_\_\_ and was amended on \_\_\_\_\_

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Not Claimed

(Number)

(Country)

(Day/Month/Year Filed)

☐

(Number)

(Country)

(Day/Month/Year Filed)

☐

(Number)

(Country)

(Day/Month/Year Filed)

☐

I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional application(s) listed below:

_____	_____
(Application Serial No.)	(Filing Date)
_____	_____
(Application Serial No.)	(Filing Date)
_____	_____
(Application Serial No.)	(Filing Date)

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C. F. R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

_____	_____	_____
(Application Serial No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)
_____	_____	_____
(Application Serial No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)
_____	_____	_____
(Application Serial No.)	(Filing Date)	(Status)
		(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. *(list name and registration number)*

Dale E. Hulse, Reg. No. 29,654

Berne S. Broadbent, Reg. No. 30,550

David A. Westerby, Reg. No. 30,900

Todd E. Zenger, Reg. No. 33,610

Keith Hargrove, Reg. No. 34,836

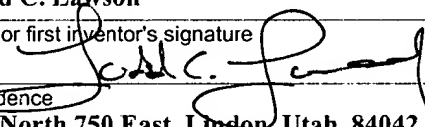
Michael F. Krieger, Reg. No. 35,232

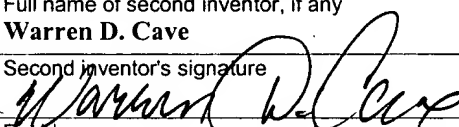
Scott C. Krieger, Reg. No. 42,768

Michael M. Conger, Reg. No. 43,562

Send Correspondence to: **Michael F. Krieger**  
**KIRTON & McCONKIE**  
 60 East South Temple, Suite 1800  
 Salt Lake City, Utah 84111

Direct Telephone Calls to: *(name and telephone number)*  
**Michael F. Krieger (801) 321-4814**

Full name of sole or first inventor <b>Todd C. Lawson</b>	
Sole or first inventor's signature x 	Date x <b>November 17, 1999</b>
Residence <b>449 North 750 East, London, Utah 84042 USA</b>	
Citizenship <b>United States of America</b>	
Post Office Address	

Full name of second inventor, if any <b>Warren D. Cave</b>	
Second inventor's signature x 	Date x <b>November 17, 1999</b>
Residence <b>1157 North 980 West, Orem, Utah 84057 USA</b>	
Citizenship <b>United States of America</b>	
Post Office Address	

Full name of third inventor, if any <b>Kamika Layne Fisher</b>	
Third inventor's signature <i>Kamika Layne Fisher</i>	Date <b>November 17, 1999</b>
Residence <b>1127 North 140 East, Orem, Utah 84057 USA</b>	
Citizenship <b>United States of America</b>	
Post Office Address	

Full name of fourth inventor, if any	
Fourth inventor's signature	Date
Residence	
Citizenship	
Post Office Address	

Full name of fifth inventor, if any	
Fifth inventor's signature	Date
Residence	
Citizenship	
Post Office Address	

Full name of sixth inventor, if any	
Sixth inventor's signature	Date
Residence	
Citizenship	
Post Office Address	

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY  
STATUS (37 CFR 1.9(f) AND 1.27 (c) 1) SMALL BUSINESS CONCERN**Docket No.  
8762.37Serial No.  
not assignedFiling Date  
November 15, 1999

Patent No.

Issue Date

Applicant/ Patentee: **Todd C. Lawson, Warren D. Cave, and Kamika Layne Fisher**Invention: **METHOD AND SYSTEM FOR INTELLIGENT GLOBAL EVENT NOTIFICATION AND CONTROL  
WITHIN A DISTRIBUTED COMPUTING ENVIRONMENT**

I hereby declare that I am:

- ☐ the owner of the small business concern identified below:  
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN: NetVision, Inc.ADDRESS OF CONCERN: 563 East 770 North, Orem, Utah 84097 USA

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the above identified invention described in:

- ☐ the specification filed herewith with title as listed above.  
☒ the application identified above.  
☐ the patent identified above.

If the rights held by the above-identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed on the next page and no rights to the invention are held by any person, other than the inventor, who could not qualify as an independent inventor under 37 CFR 1.9(c) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

- ☒ no such person, concern or organization exists.  
☐ each such person, concern or organization is listed below.

FULL NAME

ADDRESS

☐

Individual

☐

Small Business Concern

☐

Nonprofit Organization

FULL NAME

ADDRESS

☐

Individual

☐

Small Business Concern

☐

Nonprofit Organization

FULL NAME

ADDRESS

☐

Individual

☐

Small Business Concern

☐

Nonprofit Organization

FULL NAME

ADDRESS

☐

Individual

☐

Small Business Concern

☐

Nonprofit Organization

Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING:

Todd C. Lawson

TITLE OF PERSON SIGNING

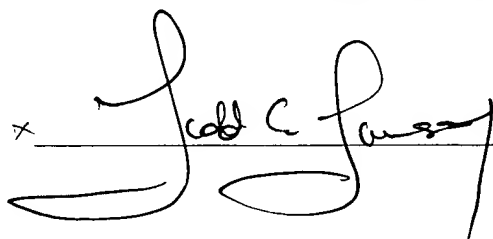
OTHER THAN OWNER:

President

ADDRESS OF PERSON SIGNING:

NetVision, Inc.563 East 770 NorthOrem, Utah 84097

SIGNATURE: X



DATE:

November 17, 1999

X

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.  
8762.37

In Re Application Of: Todd C. Lawson et al.

Serial No.  
09/440,620

Filing Date  
November 15, 1999

Examiner

Group Art Unit  
2739

Invention: **METHOD AND SYSTEM FOR INTELLIGENT GLOBAL EVENT NOTIFICATION AND CONTROL  
WITHIN A DISTRIBUTED COMPUTING ENVIRONMENT**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
December 21, 1999  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ One verified small entity declaration(s)
  - ☒ is/are attached.
  - ☐ was/were filed on \_\_\_\_\_
- ☐ A separate request for refund.
- ☐ Other (list):

Assignment of Right, Title and Interest in Invention, PTO Form 1595 Assignment Recordation Cover Sheet, Check 29378 for \$40 to Cover the Recording Fee, Check No. 29387 for \$691

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.  
**8762.37**

In Re Application Of: **Todd C. Lawson et al.**

Serial No.  
**09/440,620**

Filing Date  
**November 15, 1999**

Examiner

Group Art Unit  
**2739**

Invention: **METHOD AND SYSTEM FOR INTELLIGENT GLOBAL EVENT NOTIFICATION AND CONTROL  
WITHIN A DISTRIBUTED COMPUTING ENVIRONMENT**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

Attention: Manager, Application Branch

☒ Completion of application fees as calculated below:

<input checked="" type="checkbox"/> Utility application filing fee	<u>\$380.00</u>
<input type="checkbox"/> Design application filing fee	<u>                    </u>
<input checked="" type="checkbox"/> Total number of independent claims = <u>4</u>	<u>\$39.00</u>
<input checked="" type="checkbox"/> Total number of claims = <u>43</u>	<u>\$207.00</u>
<input type="checkbox"/> Multiple dependent claims	<u>                    </u>
<input checked="" type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath	<u>\$65.00</u>
<input type="checkbox"/> Petition and fee for filing by other than all the inventors or a person not the invention	<u>                    </u>
<input type="checkbox"/> Fee for processing an application filed with a non-English language specification	<u>                    </u>
<input type="checkbox"/> Fee for processing and retention of application	<u>                    </u>
Total completion of application fees	<u>\$691.00</u>

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month      ☐ Two months      ☐ Three months      ☐ Four months      ☐ Five months

from:                      until:                       
Date Date

Total time extension fees                     

Total fees due \$691.00



**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.  
8762.37

In Re Application Of: Todd C. Lawson et al.

Serial No.  
09/440,620

Filing Date  
November 15, 1999

Examiner

Group Art Unit  
2739

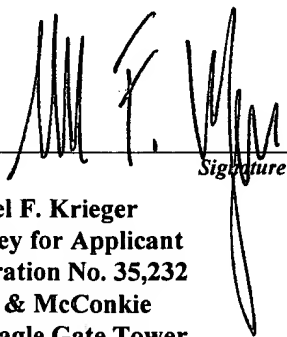
Invention: **METHOD AND SYSTEM FOR INTELLIGENT GLOBAL EVENT NOTIFICATION AND CONTROL  
WITHIN A DISTRIBUTED COMPUTING ENVIRONMENT**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

The fee of \$691 is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 500843  
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 500843  
A duplicate copy of this sheet is enclosed.



Signature

Michael F. Krieger  
Attorney for Applicant  
Registration No. 35,232  
Kirton & McConkie  
1800 Eagle Gate Tower  
60 East South Temple  
Salt Lake City, UT 84111  
(801) 321-4814

Dated: Feb. 16, 2000

I certify that this document and fee is being deposited  
on Feb. 16, 2000 with the U.S. Postal Service as  
first class mail under 37 C.F.R. 1.8 and is addressed to the  
Assistant Commissioner for Patents, Washington, D.C.  
20231.

Signature of Person Mailing Correspondence

Michael F. Krieger

Typed or Printed Name of Person Mailing Correspondence

CC: